

HOGAN & HARTSON L.L.P.

1470 WALNUT STREET
SUITE 200
BOULDER, CO 80302

Tel: (720) 406-5300
Fax: (720) 406-5301

**RECEIVED
CENTRAL FAX CENTER**

NOV 10 2004

WASHINGTON, DC
BERLIN
BRUSSELS
LONDON
PARIS
BUDAPEST
PRAGUE
WARSAW
MOSCOW
TOKYO
BEIJING
NEW YORK, NY
BALTIMORE, MD
McLEAN, VA
MIAMI, FL
DENVER, CO
COLORADO SPRINGS, CO
LOS ANGELES, CA

IMPORTANT NOTICE
TELECOPY/FACSIMILE COVER LETTER

TO: Commissioner for Patents

DATE: 11/10/2004

FROM: Stuart T. Langley

TIME: _____

TOTAL NO. OF PAGES, INCLUDING COVER: 5

The attached information is **CONFIDENTIAL** and is intended only for the use of the addressee(s) named above. If the reader of this message is not the intended recipient(s) or the employee or agent responsible for delivering the message to the intended recipient(s), please note that any dissemination, distribution or copying of this communication is strictly prohibited. Anyone who receives this communication in error should notify us immediately by telephone and return the original message to us at the above address via the U.S. Mail.

MESSAGE:

1. Power of Attorney to Prosecute Applications Before the USPTO; and
2. Statement Under 37 CFR 3.73(b)

FOR INTERNAL PURPOSES ONLY

TELECOPY/FAX NUMBER: 703-872-9306
CLIENT NUMBER: 86715.0002
ATTORNEY BILLING NUMBER: 1880
CONFIRMATION NUMBER: _____



RECEIVED

AUG 13 2004

HOGAN & HARTSON, LLP

UNITED STATES PATENT AND TRADEMARK OFFICE

UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND
DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE

AUGUST 10, 2004

PTAS



102668987A

HOGAN HARTSON LLP
STEVEN C. PETERSEN
ONE TABOR CENTER
1200 17TH STREET, SUITE 1500
DENVER, CO 80202

UNITED STATES PATENT AND TRADEMARK OFFICE
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 02/05/2004

REEL/FRAME: 014966/0147
NUMBER OF PAGES: 4

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:
DOW, STEVEN

DOC DATE: 06/28/1998

ASSIGNOR:
ELMSLIE, ROBYN E.

DOC DATE: 06/25/1998

ASSIGNOR:
SCHWARZE, JURGEN KARL JOHANNES

DOC DATE: 06/25/1998

ASSIGNOR:
GELFAND, ERWIN W.

DOC DATE: 11/04/2002

ASSIGNEE:
NATIONAL JEWISH MEDICAL AND
RESEARCH CENTER
1400 JACKSON ST.
DENVER, COLORADO 80206

014966/0147 PAGE 2

SERIAL NUMBER: 10772913

FILING DATE: 02/05/2004

PATENT NUMBER:

ISSUE DATE:

TITLE: SYSTEMIC IMMUNE ACTIVATION METHOD USING NUCLEIC ACID-LIPID COMPLEXES

TONYA LEE, EXAMINER
ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS.

RECEIVED
CENTRAL FAX CENTER

NOV 10 2004

PTO/SB/80 (09-04)

Approved for use through 11/30/2005. OMB 0551-0035

U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

POWER OF ATTORNEY TO PROSECUTE APPLICATIONS BEFORE THE USPTO

I hereby appoint:



Practitioners associated with the Customer Number:

20350

OR



Practitioner(s) named below (if more than ten patent practitioners are to be named, then a customer number must be used):

Name	Registration Number

as attorney(s) or agent(s) to represent the undersigned before the United States Patent and Trademark Office (USPTO) in connection with any and all patent applications assigned only to the undersigned according to the USPTO assignment records or assignment documents attached to this form in accordance with 37 CFR 3.73(b).

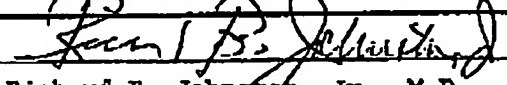
Assignee Name and Address:

National Jewish Medical and Research Center
1400 Jackson St.
Denver, CO 80206

A copy of this form, together with a statement under 37 CFR 3.73(b) (Form PTO/SB/96 or equivalent) is required to be filed in each application in which this form is used. The statement under 37 CFR 3.73(b) may be completed by one of the practitioners appointed in this form if the appointed practitioner is authorized to act on behalf of the assignee, and must identify the application in which this Power of Attorney is to be filed.

SIGNATURE of Assignee of Record

The individual whose signature and title is supplied below is authorized to act on behalf of the assignee

Signature		Date	Nov. 8, 2004
Name	Richard B. Johnston, Jr., M.D.	Telephone	303.398.1774
Title	Executive Vice President for Academic Affairs		

This collection of information is required by 37 CFR 1.31 and 1.33. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.31 and 1.14. This collection is estimated to take 3 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

PTO/SB/22 (08/04)
 Approved for use through 07/31/2008. OMB 0551-0021
 Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

STATEMENT UNDER 37 CFR 3.73(b)

Applicant/Patent Owner: Steven W. Dow, et al. _____

Application No./Patent No.: 10/772,913 Filed/Issue Date: February 5, 2004 _____

Entitled: Systemic Immune Activation Method Using Nucleic Acid-Lipid Complexes

National Jewish Medical and Research Center, a corporation (Type of Assignee, e.g., corp., partnership, university, government agency, etc.,)
 (name of Assignee)

states that it is:

1. ☒ the assignee of the entire right, title and interest; or
2. ☐ an assignee of less than the entire right, title and interest.
 The extent (by percentage) of its ownership interest is _____ %
 in the patent application/patent identified above by virtue of either:

A. ☐ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

OR

B. ☒ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: Steven W. Dow, et al. _____ To: National Jewish Medical and Research Center _____

The document was recorded in the United States Patent and Trademark Office at Reel 014966, Frame 0147, or for which a copy thereof is attached.

2. From: _____ To: _____

The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

3. From: _____ To: _____

The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

☒ Copies of assignments or other documents in the chain of title are attached.

[NOTE: A separate copy (i.e., a true copy of the original assignment document(s) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the PTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

Date

11/10/04

Stuart T. Langley
 Typed or printed name

720-408-5335
 Telephone Number

Signature

Stuart T. Langley

Attorney
 Title

SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

**This Page is Inserted by IFW Indexing and Scanning
Operations and is not part of the Official Record**

BEST AVAILABLE IMAGES

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images include but are not limited to the items checked:

- ☐ **BLACK BORDERS**
- ☐ **IMAGE CUT OFF AT TOP, BOTTOM OR SIDES**
- ☐ **FADED TEXT OR DRAWING**
- ☐ **BLURRED OR ILLEGIBLE TEXT OR DRAWING**
- ☐ **SKEWED/SLANTED IMAGES**
- ☐ **COLOR OR BLACK AND WHITE PHOTOGRAPHS**
- ☐ **GRAY SCALE DOCUMENTS**
- ☐ **LINES OR MARKS ON ORIGINAL DOCUMENT**
- ☐ **REFERENCE(S) OR EXHIBIT(S) SUBMITTED ARE POOR QUALITY**
- ☐ **OTHER:** _____

IMAGES ARE BEST AVAILABLE COPY.

As rescanning these documents will not correct the image problems checked, please do not report these problems to the IFW Image Problem Mailbox.